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**NOV 10 2005**

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>		Application Number <b>10/815,543</b>
		Filing Date <b>March 31, 2004</b>
		First Named Inventor <b>YEATES, TODD O.</b>
		Group Art Unit <b>1853</b>
		Examiner Name <b>Not yet assigned</b>
Total Number of Pages in This Submission <b>23</b>	Attorney Docket Number <b>UCAL-135CIP</b>	
<b>ENCLOSURES (check all that apply)</b>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>1. Status Inquiry</b> <b>2. Copy of Petition filed on 4.5.05 (21 pgs.)</b>
Remarks		
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>		
Firm or Individual Name <b>EDWARD J. BABA, Reg. No. 52,581</b>		
Signature 		
Date <b>November 10, 2005</b>		

<b>CERTIFICATE OF FACSIMILE TRANSMISSION</b>			
I hereby certify that this correspondence is being facsimile filed under 37 C.F.R. §§ 1.6(d) and 1.8(a)(1)(b) addressed to: (571) 273-8300 on this date: November 10, 2005.			
Typed or printed name <b>Martha Cisneros</b>			
Signature 	Date <b>November 10, 2005</b>		

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: P.O. Box 1450 Alexandria VA 22313-1450.

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NOV 10 2005

CERTIFICATE OF FACSIMILE TRANSMISSION  
(571) 273-8300

<b>STATUS INQUIRY</b>  Address to: Mail Stop NO FEE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Customer Number	24353
	Atty. Docket No.	UCAL-135CIP
	Application Number	10/815,543
	Confirmation Number	7172
	Filing Date	March 31, 2004
	First Named Inventor	YEATES, TODD O.
	Examiner Name	Not yet assigned
	Group Art Unit	1653

Sir:

A Petition regarding Notice of Abandonment for failure to timely file a reply to the Notice to Missing Parts mailed on June 14, 2004 was filed with the U.S. Patent and Trademark Office on April 5, 2005, in the above-identified application. The PAIR system indicates that our petition has not been entered.

Since 7 months has passed and no communication has been received from the Patent and Trademark Office in response thereto, applicants respectfully request to be informed of the current status of this application. Attached for Examiner's review is a copy of our petition filed on April 5, 2005.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLPDate: Nov. 10, 2005By: Edward J. Baba  
Registration No. 52,581BOZICEVIC, FIELD & FRANCIS LLP  
1900 University Avenue, Suite 200  
East Palo Alto, California 94303  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

# COPY

Atty/Sec: EJB/MC

Atty Docket No. UCAL-135CIP

Date Mailed: April 5, 2005

Application No.: 10/815,543

Filing Date: March 31, 2004

Inventor(s): YEATES, TODD O.

Title: "SELF ASSEMBLING PROTEINS FOR PRODUCING  
EXTENDED MATERIALS"

Enclosure(s):

- ❖ Transmittal (1 pg.)
  - ❖ Petition to Commissioner (2 pgs.)
  - ❖ Copy of Response to Missing Parts filed August 16, 2005 (12 pgs.)
  - ❖ Copy of Express Mail Cert (1 pg.)
  - ❖ Copy of Tracking Info (1 pg.)
  - ❖ Copy of Express Mail Label date stamped 8/16/04 (1 pg.)
  - ❖ Postcard
  - ❖ Copy of Notice of Abandonment (2 pgs.)
- EXPRESS MAIL LABEL NO. EV 577672341 US  
Acknowledge Receipt of enclosures  
By imprinting PTO Date Stamp and  
Returning to addressee

Please type a plus sign (+) inside this box →



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/815,543			
		Filing Date	March 31, 2004			
		First Named Inventor	YEATES, TODD O.			
		Group Art Unit	1853			
		Examiner Name	Unassigned			
Total Number of Pages in This Submission		18	Attorney Docket Number	UCAL-135CIP		
<b>ENCLOSURES (check all that apply)</b>						
<table border="0" style="width: 100%;"> <tr> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Documents  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53         </td> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> Assignment Papers (for an Application)  <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation  <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)         </td> <td style="vertical-align: top; width: 33%;"> <input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input checked="" type="checkbox"/> Petition to The Commissioner Under 37 C.F.R. § 1.181 (2 pgs.)  <input checked="" type="checkbox"/> Copy of Response to Missing Parts filed August 16, 2005 (12 pgs.)  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            - Copy of Express Mail Cert (1 pg.)            - Copy of Tracking Info (1 pg.)            - Copy of Express Mail Label date stamped 8/16/04            - Copy of Notice of Abandonment (2 pgs.)            - Postcard         </td> </tr> </table>				<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input checked="" type="checkbox"/> Petition to The Commissioner Under 37 C.F.R. § 1.181 (2 pgs.) <input checked="" type="checkbox"/> Copy of Response to Missing Parts filed August 16, 2005 (12 pgs.) <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Copy of Express Mail Cert (1 pg.) - Copy of Tracking Info (1 pg.) - Copy of Express Mail Label date stamped 8/16/04 - Copy of Notice of Abandonment (2 pgs.) - Postcard
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input checked="" type="checkbox"/> Petition to The Commissioner Under 37 C.F.R. § 1.181 (2 pgs.) <input checked="" type="checkbox"/> Copy of Response to Missing Parts filed August 16, 2005 (12 pgs.) <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): - Copy of Express Mail Cert (1 pg.) - Copy of Tracking Info (1 pg.) - Copy of Express Mail Label date stamped 8/16/04 - Copy of Notice of Abandonment (2 pgs.) - Postcard				
Remarks						
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>						
Signing Attorney/Agent (Reg. No.)	EDWARD J. BABA, 52,581 BOZICEVIC, FIELD & FRANCIS, LLP					
Signature						
Date	April 5, 2005					
<b>EXPRESS MAIL LABEL NO. EV 577672341 US</b>						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-BTO-0100 and select option 2.



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22315-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
10/815,543	03/31/2004	Todd O. Yeates	UCAL-135CIP

CONFIRMATION NO. 7172

ABANDONMENT/TERMINATION  
LETTER

\*OC000000015462972\*

COPY

24353  
 BOZICEVIC, FIELD & FRANCIS LLP  
 1900 UNIVERSITY AVENUE  
 SUITE 200  
 EAST PALO ALTO, CA 94303

RECEIVED

MAR 21 2005

Bozicevic, Field, &amp; Francis

Date Mailed: 03/15/2005

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 06/14/2004.

- No reply was received.

If a complete reply to the notice was previously filed by applicant within the time period set forth in the notice, applicant may request for reconsideration of the holding of abandonment within 2 months from the mailing of this notice of abandonment by filing a petition to withdraw the holding of abandonment under 37 CFR 1.181(a). No petition fee is required. The petition must be accompanied by a true copy of the originally filed reply and the item (s) identified in one of the following:

1. A properly itemized date-stamped postcard receipt (see MPEP § 503);
2. If the originally filed reply included a certificate of mailing or transmission in compliance with 37 CFR 1.8(a), a copy of the certificate of mailing or transmission and a statement in compliance with 37 CFR 1.8(b) (see MPEP §§ 512); or
3. If the reply was filed via Express Mail, a submission satisfying the requirements of 37 CFR 1.10(e) including, for example, a copy of the Express Mail mailing label showing the "date-in" (see MPEP § 513).

Any petition to withdraw the holding of abandonment should be directed to OIPE.

If applicant did not previously file a complete reply within the time period set forth in the notice, applicant may file a petition to revive the application under 37 CFR 1.137.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(i); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/61.

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-

identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d). See MPEP § 711.03(c) and Form PTO/SB/64.

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

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*A copy of this notice MUST be returned with the reply.*

213L  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY

Atty Dkt. No.: UCAL135CIP  
USSN: 10/815,543

## EXPRESS MAIL NO. EV577672341US

<b>PETITION TO THE COMMISSIONER UNDER 37 C.F.R. § 1.181</b>  Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Attorney Docket	UCAL-135CIP
	First Named Inventor	Todd O. Yeates
	Application Number	10/815,543
	Filing Date	March 31, 2004
	Group Art Unit	1653
	Examiner Name	Unassigned
Title: "SELF ASSEMBLING PROTEINS FOR PRODUCING EXTENDED MATERIALS"		

COPY

Sir:

This petition is submitted to the Commissioner of Patents and Trademarks under 37 C.F.R. § 1.181(a). This Petition is being filed in response to the Notice of Abandonment dated March 15, 2005. A two-month period for filing a petition to withdraw a holding of abandonment was given, making this Petition due on or before May 15, 2005. Accordingly, this Petition is timely filed.

Applicant hereby petitions for withdrawal of the holding of abandonment in the present application.

The Patent Office incorrectly indicated that the application was abandoned for failure to timely file a reply to a Notice to File Missing Parts mailed on June 14, 2004. This is in error.

A Notice to File Missing Parts for the above-captioned case was mailed on June 14, 2004, making the reply due on or before August 14, 2004. The response to the Notice to File Missing Parts was timely filed with a one-month extension of time on August 16, 2004. As provided for under 37 C.F.R. § 1.10(e), the following are provided herewith:

- 1) a copy of the response to the Notice to File Missing Parts as filed on August 16, 2004, showing Express Mail No. EV46 2737 729 US, including a copy of the itemized postcard receipt;

Atty Dkt. No.: UCAL135CIP  
USSN: 10/815,543

- 2) a copy of the Express Mail Certification, certifying that the response to the Notice to File Missing Parts was deposited with the United States Postal Service (USPS) on August 16, 2004;
- 3) a copy of the tracking information, which provides evidence that the items, including the response to the Notice to File Missing Parts filed in connection with this patent application, which were delivered under Express Mail No. EV46 2737 729 US, were accepted by the USPS on August 16, 2004, and were delivered to the U.S. Patent and Trademark Office on August 18, 2004; and
- 4) a copy of the stamped Express Mail receipt.

While no fee is believed due, the Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment, to Deposit Account No. 50-0815.

Respectfully submitted,

Date: April 5, 2005

By: 

Edward J. Baba  
Registration No. 52,581

BOZICEVIC, FIELD & FRANCIS LLP  
1900 University Avenue, Suite 200  
East Palo Alto, CA 94303  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

F:\DOCUMENT\UCAL\135cip\Petition to WD Abandonment.doc



COPY

Express Mail No. EV462737729US  
Atty: BEF/djm

File No. UCAL-135CIP      Date Mailed: August 16, 2004  
Application No.: 10/815,543      Date Filed: *March 31, 2004*  
Inventor(s): Yeates et al.  
Title: SELF ASSEMBLING PROTEINS FOR PRODUCING EXTENDED  
MATERIALS

Enclosures:

- Transmittal Form (1 pg)
- Fee Transmittal (in dup.) (2 pgs)
- Copy of Notice to File Missing Parts (2 pgs)
- Supplemental Application Information (2 pgs)
- Executed Declaration (4 pgs)

Acknowledge Receipt of enclosur  
By imprinting PTO Date Stamp

**COPY**

Please type a plus sign (+) inside this box →

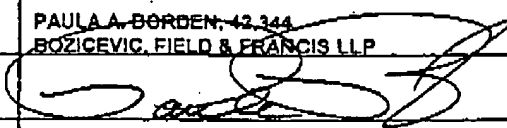



PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0851-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	10/815,543	
		Filing Date	March 31, 2004	
		First Named Inventor	YEATES, TODD O.	
		Group Art Unit	1653	
		Examiner Name	Unassigned	
Total Number of Pages in This Submission		11	Attorney Docket Number	UCAL-135CIP
<b>ENCLOSURES (check all that apply)</b>				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Executed Declaration; Supplemental Application Information; Postcard	Remarks	
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>				
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN, 42,344 BOZICEVIC, FIELD & FRANCIS LLP			
Signature	 			
Date	August 16, 2004			
<b>EXPRESS MAIL LABEL NO. EV462737729US</b>				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 504.00)

**Complete if Known**

Application Number 10/815,543  
 Filing Date March 31, 2004  
 First Named Inventor YEATES, TODD O.  
 Examiner Name Unassigned  
 Art Unit 1653  
 Attorney Docket No. UCAL-135CIP

**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 50-0815  
 Deposit Account Name Bozicvic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
1001	770	2001	385	Utility filing fee	385.00
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					385.00

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
26	-20** = 6	x 9.00	= 54.00
Indep. Claims	3	-3** = 0	x
Multiple Dependent			

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					54.00

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee	Entity Fee	Small Fee	Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	65.00
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	2812	2,520	For filing a request for ex parte reexamination	
1804	920*	2804	920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	2805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1406	130	2406	130	Petitions to the Commissioner	
1807	50	2807	50	Processing fee under 37 CFR 1.17(e)	
1808	180	2808	180	Submission of Information Disclosure Stmt	
8021	40	28021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	800	2802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

65.00

**SUBMITTED BY****Complete (if applicable)**

Name (Print/Type) Paula A. Borden Registration No. 42,344 Telephone (850) 933-7770  
 Signature Date 08/16/2004

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Provide credit card information and authorization on PTO-2038.

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PTO/SB/17 (10-03)

Approved for use through 07/31/2008. OMB 0851-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 504.00)

## Complete if Known

Application Number 10/815,543  
 Filing Date March 31, 2004  
 First Named Inventor YEATES, TODD O.  
 Examiner Name Unassigned  
 Art Unit 1653  
 Attorney Docket No. UCAL-135CIP

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:

Deposit Account Number 50-0815  
 Deposit Account Name Bozicevic, Field & Francis LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge Any Additional Fee(s) Required under 37 C.F.R. 1.17.☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	385.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>385.00</b>

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** = 6	x 9.00	54.00
Indep. Claims	3	-3** = 0	

## Multiple Dependent

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 43	Independent claims in excess of 3
1203 280	2203 145	Multiple dependent claim, if not paid
1204 88	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b> \$ 54.00		

\*\*or number previously paid, if greater; For Reissues, see above.

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	65.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Non-English specification	
1812 2,520	2812 1,260	For filing a request for ex parte reexamination	
1804 820*	2804 410*	Requesting publication of SIR prior to Examination action	
1805 1,840*	2805 920*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,430	2254 715	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 755	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1408 130	2408 65	Petitions to the Commissioner	
1807 50	2807 25	Processing fee under 37 CFR 1.17(q)	
1806 180	2806 90	Submission of Information Disclosure Stmt	
8021 40	28021 20	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 450	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

65.00

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Paula A. Borden Registration No. 42,344 Telephone (505) 833-7770  
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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/815,543	03/31/2004	Todd O. Yeates	UCAL-135CIP

24353  
 BOZICEVIC, FIELD & FRANCIS LLP  
 200 MIDDLEFIELD RD  
 SUITE 200  
 MENLO PARK, CA 94025

CONFIRMATION NO. 7172

## FORMALITIES LETTER



\*OC000000012929555\*

Date Mailed: 06/14/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

COPY

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 385 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$54 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$504 for a Small Entity

- \$385 Statutory basic filing fee.
- \$65 Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is \$54

- \$54 for 6 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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## APPLICATION INFORMATION

Application Type:: Utility  
Title:: SELF ASSEMBLING PROTEINS FOR  
PRODUCING EXTENDED  
MATERIALS  
UCAL-135CIP  
Attorney Docket Number::  
Request for Non-Publication?::  
Assignee for Publication::  
Total Drawing Sheets:: 1  
Small Entity?:: Small  
License US Govt. Agency:: Yes  
Contract or Grant Numbers:: GM31299, MCB-0103549, DE-FGO3-  
87ER60615  
Sequence Submission?:: No  
Computer Readable Form (CRF)?::

## INVENTOR INFORMATION

Inventor One Given Name:: TODD O.  
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Postal Address Line One:: 29028 Catherwood Court  
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Citizenship Country:: USA  
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Family Name:: PADILLA  
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Postal or Zip Code:: 91104  
Citizenship Country:: USA  
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Family Name:: YOSHIDA  
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Citizenship Country:: USA

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Family Name:: COLOVOS  
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Postal Address Line Two::  
City:: Thousand Oaks  
State or Province:: California  
Postal or Zip Code:: 91360  
Citizenship Country:: USA

**CORRESPONDENCE INFORMATION**

Correspondence Customer Number:: 24353  
Telephone One:: (650) 327-3400  
Telephone Two:: (650) 833-7770  
Fax:: (650) 327-3231  
Electronic Mail:: field@bozpat.com

**REPRESENTATIVE INFORMATION**

Representative Customer Number:: 24353

**CONTINUITY INFORMATION**

This application is a:: continuation-in-part of U.S. Patent  
> Application One:: 09/564,710  
Filing Date:: May 3, 2000

This application is a:: U.S. Provisional Patent  
> Application Two:: 60/133,470  
Filing Date:: May 10, 1999

which is a::  
>> Application Three::  
Filing Date::

which is a::  
>>> Application Four::  
Filing Date::

**PRIOR FOREIGN APPLICATIONS**

Foreign Application One::  
Filing Date::  
Country::  
Priority Claimed::



PTO/SB/01a (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)****Title of Invention** SELF ASSEMBLING PROTEINS FOR PRODUCING EXTENDED MATERIALS

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or  
☒ United States Application Number or PCT International Application Number  
10/815,543, filed on March 31, 2004,  
☐ as amended on \_\_\_\_\_ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application, if applicable; and.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

As a named inventor I hereby appoint Practitioners at Customer Number 24353 as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith

**FULL NAME OF INVENTOR(S)**

Inventor one: TODD O. YEATES

Signature: \_\_\_\_\_ Citizen of: USA

Inventor two: JENNIFER PADILLA

Signature: \_\_\_\_\_ Citizen of: USA

Inventor three: STEPHANIE YOSHIDA

Signature: Stephanie M Yoshida Citizen of: USA

Inventor four: CHRIS COLOVOS

Signature: \_\_\_\_\_ Citizen of: USA

☐ Additional inventors are being named on additional form(s) attached hereto.

**Burden Hour Statement:** This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Signature: \_\_\_\_\_ Citizen of: USA

Inventor three: STEPHANIE YOSHIDA

Signature: \_\_\_\_\_ Citizen of: USA

Inventor four: CHRIS COLOVOS

Signature:  Citizen of: USA☐ Additional inventors are being named on additional form(s) attached hereto.

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Inventor two: JENNIFER PADILLA

Signature: Jennifer Padilla Citizen of: USA

Inventor three: STEPHANIE YOSHIDA

Signature: \_\_\_\_\_ Citizen of: USA

Inventor four: CHRIS COLOVOS

Signature: \_\_\_\_\_ Citizen of: USA

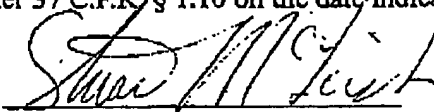
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**DATE: August 16, 2004****EV462737729US****THE EXPRESS MAIL NUMBER OF THE DAY IS: EV462737729US****The person taking the Express Mail to the Post Office is: Stuart McLeish****EXPRESS MAIL CERTIFICATION**














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Signature



Date

8/16/04

Atty. Docket No.	Serial Number	Description	Atty.	Fee
				
				
				
UCAL-135CIP	10/815,543	Transmittal, Fee Sheet <i>in duplicate</i> , Copy of Notice to File Missing Parts, Supplemental ADS, Executed Declaration <i>in counterparts</i>	BEF	\$504

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Date: 04/05/2005

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*Mary Boston*  
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ALBANY, NY 12206

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